Combinatorial and Topological Aspects of Helly Type Theorems

Gil Kalai

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Happy Birthday, dear Endre Szemeredi! Budapest 2010.

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Some basic principles

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- Helly type theorems and other theorems in convexity have strong topological flavour.

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- Graphs and hypergraphs arising in geometry (nerves in particular) are special
- Helly type theorems and other theorems in convexity have strong topological flavour.
- Helly-type theorems and other theorems in combinatorial geometry often have very general combinatorial underlying explanation.

In the lecture I mentioned Trotter Szemeredi theorem as a quick motivating example.

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Prologue: a problem about Families of sets

Let $\mathcal{F}_1, \mathcal{F}_2, \ldots, \mathcal{F}_t$ be disjoint nonempty families of subsets of $[n] = \{1, 2, \ldots, n\}$ Suppose that the following condition holds: for every i < j < k and every $R \in \mathcal{F}_i$ and $T \in \mathcal{F}_k$ there is $S \in \mathcal{F}_j$ such that $R \cap T \subset S$.

Note: The families are disjoints, the sets in a single family need not be disjoint.

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Theorem: $f(n) \le n^{\log n+1}$. **Big question:** Is there a polynomial upper bound?

Quasi Polynomial upper bound, remark

Suppose we start with such families and

- a) Consider only sets containing an element 'm',
- b) Remove 'm' from all these sets.

We will obtain a new such sequence of families, this time the ground set will have size n - 1.

Some families in the beginning or at the and will vanish.

Let s be the largest integer so that the union of all sets in all families $\mathcal{F}_1, \ldots, \mathcal{F}_s$ is at most [n/2]. $s \leq f(n/2)$.

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There is an element 'm' common to a set in the s + 1 families and to a set in the last r + 1 families. Therefore, if we eliminate 'm' the families $\mathcal{F}_{s+1}, \ldots \mathcal{F}_{t-r}$ survive.

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 $t-s-r \leq f(n-1)$ and therefore $f(n) \leq f(n-1) + 2f(n/2)$.

Let *P* be *d*-polytope with n facets. Associate to every vertex *v* of *P*, a set S_v based on the indices of facets containing *v*. Given a vertex *w* let \mathcal{F}_i be the sets associated to vertices at distance *i* from *w*.

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This would follow from a polynomial upper bound for f(n)Friedrich Eisenbrand, Nicolai Hahnle, Sasha Razborov, and Thomas Rothvoss proved and almost quadratic lower bound for f(n).

Helly's theorem

Helly's theorem: The family of compact convex sets in \mathbb{R}^d has Helly number d + 1.

A family \mathcal{F} of sets has *Helly number* k if for every finite subfamily $\mathcal{G} \subset \mathcal{F}$, $|\mathcal{G}| \ge k$, if every k members of \mathcal{G} have a point in common, then all members of \mathcal{G} have a point in common. And, moreover, k is the smallest integer with this property.

Topological Helly theorem

Topological Helly's theorem (proved by Helly himself!) The class of compact sets homehomorphic to a ball in R^d or empty has Helly order d + 1.

A family \mathcal{F} has *Helly order k* if for every finite subfamily \mathcal{G} , $|\mathcal{G}| \ge k$, with the property that all intersections of sets in \mathcal{G} is in \mathcal{F} , if every k members of \mathcal{G} have a point in common, then all members of \mathcal{G} have a point in common. And, moreover, k is the smallest integer with this property.

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A family \mathcal{F} of subsets of X has *Radon number* k if for every k points in X one can find a partition $X = X_1 \cup X_2$ such that every S in \mathcal{F} that contains X_1 , intersects every T in \mathcal{F} that contains X_2 . And, moreover, k is the smallest integer with this property.

Radon theorem

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Tverberg's theorem

Let \mathcal{F} be a family of subsets of X. Define $t_r(\mathcal{G})$ to be the smallest integer with the following property: Every set of $t_r(\mathcal{G})$ points from X can be divided into r parts, X_1, X_2, \ldots, X_r such that for every $S_1, S_2, \ldots, S_k \in \mathcal{G}$ with $X_i \subset S_i$ there is a point in common to all the $S'_i s$.

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Tverberg's theorem: The family \mathcal{F} of convex sets in \mathbb{R}^d has $t_r(\mathcal{F}) = (d+1)(r-1) + 1$.

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Tverberg's theorem: The family \mathcal{F} of convex sets in \mathbb{R}^d has $t_r(\mathcal{F}) = (d+1)(r-1) + 1$.

History: Birch (conjectured), Rado (proved a weaker result), Tverberg (proved), Tverberg (reproved), Tverberg & Vrecica (reproved), Sarkaria (reproved), Roundeff (reproved)

Topological Tverberg's theorem

Topological Helly theorem Let $f : \Delta^{(d+1)(r-1)} \to R^d$ be a continuous function from the (d+1)(r-1) dimensional simplex to R^d . Then there are r disjoint faces of the simplex whose images have a point in common.

History: Bárány and Bajmóczy , Bárány, Shlosman and Szücs... Zivaljevic and Vrecica, Blagojecic, Matschke, and Ziegler

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Eckoff's partition conjecture

Conjecture: (Eckhoff) Let \mathcal{F} is a family of subsets of X closed under intersection. Suppose that $X \in \mathcal{F}$. Then

$$t_r(\mathcal{F})-1\leq (r-1)(t_2(\mathcal{F})-1).$$

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Boris Bukh told me after the lecture that he disproved Eckhoff's conjecture!

Amenta's theorem

Amenta's theorem: (1996) Let \mathcal{F} be the family of union of r disjoint compact convex sets in \mathbb{R}^d . Then the Helly order of \mathcal{F} is (d+1)r.

This was a conjecture of Grunbaum and Motzkin (1961).

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Theorem (Alon-Kalai and Matousek): Let \mathcal{F} be the family of union of r compact convex sets in \mathbb{R}^d . Then the Helly order of \mathcal{F} is finite.

Topological Amenta

Theorem: (Kalai and Meshulam, 2008): Let \mathcal{F} be the family of union of r disjoint contractible sets in \mathbb{R}^d . Then the Helly order of \mathcal{F} is (d+1)r.

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Combinatorial Amenta

Theorem(Eckhoff and Nischke 2008) Let \mathcal{F} be a family with Helly order k, let \mathcal{G} consists of unions of at most r disjoint members of \mathcal{F} , then \mathcal{G} has Helly order kr.

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The fractional Helly property

Let \mathcal{F} be a family of sets. \mathcal{F} satisfies **The weak fractional Helly property (WFHP)** with index k, if For every α there is β such that for every subfamily \mathcal{G} of n sets if a fraction α of all k-subfamilies are intersecting then a fraction β of all members of \mathcal{G} have nonempty intersection.

The strong FHP with index k: Also $\alpha \rightarrow 1$ when $\beta \rightarrow 1$.

Piercing property with index k: For every p > k there is f(p) such that if from every p sets k have a point in common there are f(p) points such that every set contains one of them.

Theorem (Katchalski and Liu, Eckhoff, Kalai) Convex sets in \mathbb{R}^d have the strong fractional Helly property with index d + 1.

Theorem (Alon and Kleitman): Convex sets in R^d have the piercing property with index d + 1.

Theorem (Alon, Kalai, Matousek, Meshulam): Weak fractional Helly implies piercing property with the same parameter.

The Barany-Matousek theorem

Integral Helly theorem (Scarf and others): Let \mathcal{F} be a collection of *n* convex sets in \mathbb{R}^d . If every 2^d sets in \mathcal{F} have an integer point in common then there is an integer point common to all of the sets.

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Barany-Matousek Theorem

Sets of integer points in convex sets in R^d satisfy the weak fractional Helly property with parameter d+1.

In particular:

There is a positive constant $\alpha(d)$ such that the following statement holds:

Let \mathcal{F} be a collection of n convex sets in \mathbb{R}^d . If every d + 1 sets in \mathcal{F} have an integer point in common then there is an integer point common to $\alpha(d)n$ of the sets.

The Leray property

A simplicial complex is called *d*-Leray if all homology groups of dimension d or more of all induced subcomplexes vanish.

Examples: 0-Leray = complete complexes 1-Leray = chordal graphs

"Forbidden induced subcomplexes".

(immediate) *d*-Leray implies Helly number $\leq d + 1$ (hard) *d*-Leray implies (strong) fractional helly

What type of properties implies (weak) fractional Helly?

Theorem: (Matousek) Bounded VC-dimension implies the weak fractional Helly property.

Conjecture (Kalai and Meshulam): Weak fractional Helly of parameter k follows from polynomial growth (like n^k) of the total Betti numbers of the nerve.

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The case k = 0 and Gyárfás type questions!

For a graph G, I(G) is the independent complex of G and $\beta(I(G))$ is the sum of (reduced) Betti numbers of I(H).

Conjecture: Let G be a graph. If $\beta I(H) < K$ for every induced subgraph then $\chi(G)$ is bounded.

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What about K=1? **Conjecture:** $\beta(I(H)) \le 1$ for every induced subgraph *H* iff *G* does not contain an induced cycle of length 0(mod 3). This leads to very interesting **Gyárfás type** questions about uniform upper bound for the chromatic number of all graphs *G* with certain conditions on induced subgraphs.

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