

Geometry of Poisson brackets and quantum unsharpness

Leonid Polterovich, Tel Aviv University

Jerusalem, December 13, 2012

(M^{2n}, ω) -symplectic manifold

ω - **symplectic form**. Locally $\omega = \sum_{i=1}^n dp_i \wedge dq_i$.

M -phase space of mechanical system.

Energy determines evolution: $h : M \times [0, 1] \rightarrow \mathbb{R}$ – Hamiltonian function (energy). Hamiltonian system:

$$\begin{cases} \dot{q} = \frac{\partial h}{\partial p} \\ \dot{p} = -\frac{\partial h}{\partial q} \end{cases}$$

Family of **Hamiltonian diffeomorphisms**

$$\phi_t : M \rightarrow M, \quad (p(0), q(0)) \mapsto (p(t), q(t))$$

Key feature: $\phi_t^* \omega = \omega$.

Examples of closed symplectic manifolds:

- Surfaces with an area forms;
- Complex projective manifolds;
- Products.

Poisson bracket: For $f, g \in C^\infty(M)$

$$\{f, g\} = \frac{\partial f}{\partial q} \cdot \frac{\partial g}{\partial p} - \frac{\partial f}{\partial p} \cdot \frac{\partial g}{\partial q}$$

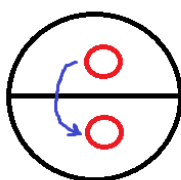
Measures **non-commutativity** of Hamiltonian flows of f and g .

Small scale on symplectic manifolds

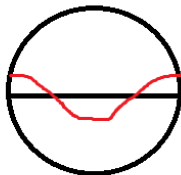
$X \subset M$ **displaceable** if \exists Hamiltonian diffeomorphism ϕ :

$$\phi X \cap X = \emptyset .$$

Figure: (Non)-displaceability on the 2-sphere



**small disc
displaceable**



**equator non-
displaceable**

Non-displaceable fiber theorem

Let $\vec{f} = (f_1, \dots, f_N) : M \rightarrow \mathbb{R}^N$, $\{f_i, f_j\} = 0$ for all i, j .

Theorem (Entov-P., 2006)

For some $p \in \vec{f}(M) \subset \mathbb{R}^N$, the preimage $\vec{f}^{-1}(p)$ is non-displaceable.

Applications: symplectic topology, integrable systems.

"We will go another way"

$\vec{f} = (f_1, \dots, f_N)$ – collection of functions.

The magnitude of non-commutativity

$$\nu_c(\vec{f}) = \max_{x, y \in [-1, 1]^N} \left\| \left\{ \sum x_j f_j, \sum y_k f_k \right\} \right\|.$$

$\|f\| := \max |f|$ – uniform norm.

The Poisson bracket invariant

$\mathcal{U} = \{U_1, \dots, U_N\}$ – a finite open cover of M .

$$pb(\mathcal{U}) = \inf \nu_c(\vec{f})$$

Infimum over all **partitions of unity** subordinated to \mathcal{U} .

Theorem (Entov-P.-Zapolsky)

If all U_i are displaceable, $pb(\mathcal{U}) > 0$.

Quantitative version: $pb(\mathcal{U}) \cdot \mathcal{A} \geq C$,

\mathcal{A} – maximal “symplectic size” (e.g. **displacement energy**) of U_i
(the magnitude of localization)

C depends only on “combinatorics” of the cover \mathcal{U} .

Conjecture: C depends only on (M, ω) (universal const??)

INTERPRETATION AND PROOF

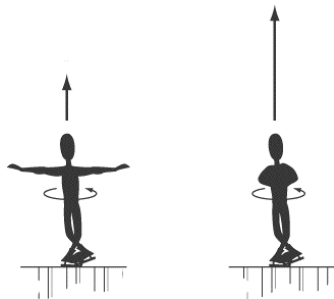
RELATED TO QUANTUM MECHANICS

Angular momentum

Phase space – two sphere, $L = (L_1, L_2, L_3) \in S^2$

Attribute of spinning body, depends on angular velocity and shape.

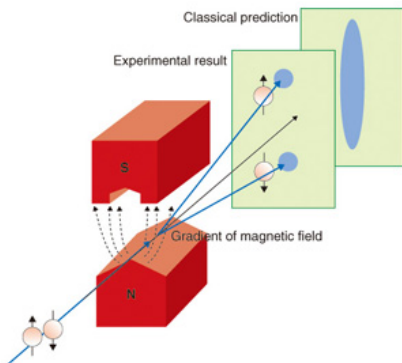
Figure: Conservation of angular momentum:



Poisson bracket relations: $\{L_1, L_2\} = L_3, \dots$ (cyclic permutation)

Encountering quantum mechanics

Figure: Stern-Gerlach experiment (1922):



Deflection of a beam of atoms of silver through an inhomogeneous magnetic field. L_3 takes two quantized values vs. classical prediction $L_3 \in \text{interval}$.

Naive quantization

H - finite dimensional Hilbert space over \mathbb{C}

$\mathcal{L}(H)$ - Hermitian operators on H

\mathcal{S} - density operators $\rho \in \mathcal{L}(H)$, $\rho \geq 0$, $\text{Trace}(\rho) = 1$.

\hbar -Planck constant.

Quantum mechanics contains the classical one in the limit $\hbar \rightarrow 0$.

Table: Quantum-Classical Correspondence

	CLASSICAL	QUANTUM
OBSERVABLES	Symplectic mfd (M, ω) $f \in C^\infty(M)$	\mathbb{C} -Hilbert space H $A \in \mathcal{L}(H)$
STATES	Probability measures on M	Density ops $\rho \in \mathcal{S}$
BRACKET	Poisson bracket $\{f, g\}$	Commutator $\frac{i}{\hbar}[A, B]$

von Neumann, 1932

$A \in \mathcal{L}(H)$ - observable, $A = \sum \lambda_j P_j$ - spectral decomposition.
In state $\rho \in \mathcal{S}$, A attains value λ_j with probability $\text{Trace}(P_j \rho)$.

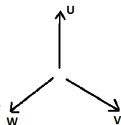
Example (quantized angular momentum) : $H = \mathbb{C}^2$,
 $\hat{L}_1, \hat{L}_2, \hat{L}_3$ -Pauli matrices

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Commutator relations: $[\hat{L}_1, \hat{L}_2] = 2i\hat{L}_3, \dots$

Possible values: $+1; -1$ - explains appearance of only two dots in Stern-Gerlach experiment

Figure: Back to Stern-Gerlach



Measure projections of the angular momentum L to vectors U, V, W with $U + V + W = 0$. The sum of the results is 0. But possible values are ± 1 , contradiction! Deep foundational problem.

An explanation: Gedankenexperiment is impossible: we cannot measure simultaneously non-commuting observables $\widehat{L}_U, \widehat{L}_V, \widehat{L}_W$.

Uncertainty principle:

$$\text{Variance}(A, \rho) \times \text{Variance}(B, \rho) \geq \frac{1}{4} \cdot |\text{Trace}([A, B] \cdot \rho)|^2.$$

Positive Operator Valued Measures (POVMs)

$\Omega_N = \{1, \dots, N\}$, H -Hilbert space, $\mathcal{L}(H)$ -Hermitian operators on H .

POVM $A = \{A_1, \dots, A_N\}$, $A_j \in \mathcal{L}(H)$, $A_j \geq 0$, $\sum A_j = \mathbb{1}$.

Generalized observable with values in Ω_N .

Statistical axiom: Given the system in the state $\rho \in \mathcal{S}$, the probability of finding A in $j \in \Omega_N$ equals $\text{Trace}(A_j \rho)$.

Example: $B \in \mathcal{L}(H)$ – von Neumann observable, $B = \sum_{j=1}^N \lambda_j P_j$ – spectral decomposition. Described as **projector valued POVM** $\{P_1, \dots, P_N\}$ on $\Omega_N := \{1, \dots, N\}$ together with **random variable** $\lambda : \Omega_N \rightarrow \mathbb{R}$.

Agrees with von Neumann axiom: In state $\rho \in \mathcal{S}$, B attains value λ_j with probability $\text{Trace}(P_j \rho)$.

$A = \{A_1, \dots, A_N\}$ – $\mathcal{L}(H)$ -valued POVM on $\Omega_N = \{1, \dots, N\}$

$x = (x_1, \dots, x_N) : \Omega_N \rightarrow \mathbb{R}$ – random variable

$A(x) = \sum x_j A_j$ – operator-valued expectation

Systematic noise $\mathcal{N}(A)$ of A (Ozawa, Busch-Heinonen-Lahti) –
certain “magnitude” of the operator valued variance

$$\sum_{j=1}^N x_j^2 A_j - A(x)^2$$

Magnitude of non-commutativity:

$$\nu_q(A) := \max_{x, y \in [-1, 1]^N} \|[A(x), A(y)]\|_{op}$$

Theorem (Unsharpness principle)

$$\mathcal{N}(A) \geq \frac{1}{2} \nu_q(A).$$

Janssens, 2006; Miyadera-Imai, 2008; P., 2012

Berezin-Toeplitz quantization

(M, ω) -closed symplectic manifold, $[\omega] \in H^2(M, \mathbb{Z})$.

BT-quantization: Sequence of \mathbb{C} -Hilbert spaces H_m , $\dim H_m \rightarrow \infty$
and linear maps $T_m : C^\infty(M) \rightarrow \mathcal{L}(H_m)$, $f \mapsto T_m(f)$:

- **(normalization)** $T_m(1) = \mathbb{1}$;
- **(positivity)** $f \geq 0 \Rightarrow T_m(f) \geq 0$.
- **(correspondence principle)**

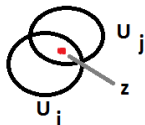
$$\| [T_m(f), T_m(g)] \|_{op} = \frac{\| \{f, g\} \|}{m} + O(1/m^2).$$

$\hbar = \frac{1}{m}$ -Planck constant, $m \rightarrow \infty$ - classical limit.

Berezin, 1975; ... Bordeman-Meinrenken-Schlichenmaier, 1994;
Guillemin, 1995; Borthwick-Urbe, 1996; Ma-Marinescu, 2008

Classical registration procedure

Figure: Registration:



(M, ω) closed symplectic manifold, $\mathcal{U} = \{U_1, \dots, U_N\}$ -finite open cover, $\vec{f} = \{f_1, \dots, f_N\}$ -subordinated partition of unity:
support(f_j) $\subset U_j$, $f_j \geq 0$, $\sum f_j = 1$.

Each point $z \in M$ has to register in exactly one $U_j \ni z$.

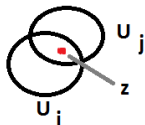
Ambiguity because of overlaps.

Figure: Cell phone registers at an access point :



Classical registration procedure

Figure: Registration:



(M, ω) closed symplectic manifold, $\mathcal{U} = \{U_1, \dots, U_N\}$ -finite open cover, $\vec{f} = \{f_1, \dots, f_N\}$ -subordinated partition of unity:
support(f_j) $\subset U_j$, $f_j \geq 0$, $\sum f_j = 1$.

Each point $z \in M$ has to register in exactly one $U_j \ni z$.

Ambiguity because of overlaps. Resolve **at random**:

z registers in U_j with probability $f_j(z)$.

“truth, but not the whole truth”

Observation: T_m – BT-quantization \Rightarrow
 $A^{(m)} := \{T_m(f_j)\}$ – $\mathcal{L}(H_m)$ -valued POVM on $\Omega_N = \{1, \dots, N\}$.

Interpretation: Given the system in state $\rho \in \mathcal{S}(H_m)$, probability of registration in U_j equals $\text{Trace}(T_m(f_j) \cdot \rho)$.

Theorem

Assume that all U_i 's are displaceable. Then

$$\mathcal{N}(A^{(m)}) \geq C(U) \cdot \hbar$$

for all sufficiently small $\hbar = 1/m$.

Ingredients of the proof:

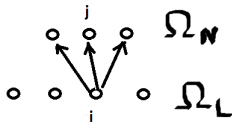
- rigidity of partitions of unity (function theory on symplectic manifolds);
- norm-sensitive correspondence principle (pseudo-differential calculus);
- unsharpness principle for POVMs (linear algebra).

Upgrades under extra assumptions to

Noise-Localization Uncertainty Relation

$$\text{Noise} \times \max_i \text{Size}(U_i) \geq C\hbar .$$

Figure: Smearing of POVMs:



Each state $i \in \Omega_L$ diffuses to $j \in \Omega_N$ with probability γ_{ij} .

Statistical procedure given by POVM B on Ω_L transforms to the one given by POVM A on Ω_N with $A_j = \sum_i \gamma_{ij} B_i$.

$\Gamma = (\gamma_{ij}) : \mathbb{R}^N \rightarrow \mathbb{R}^L$ – **Markov operator**. $B \mapsto_{\Gamma} A$

Role of smearing: Two pairwise non-commuting projector valued measures cannot be measured simultaneously. But they can be measured after a smearing—“**unsharp approximate measurements**”.

EXTRA: Noise operator

$A = \{A_1, \dots, A_N\}$ – $\mathcal{L}(H)$ -valued POVM on $\Omega_N = \{1, \dots, N\}$

$x = (x_1, \dots, x_N) : \Omega_N \rightarrow [-1, 1]^N$ – random variable

$A(x) = \sum x_j A_j$ – operator-valued expectation

$\Delta_A(x) := \sum_{j=1}^N x_j^2 A_j - A(x)^2$ – operator valued variance or
(noise operator) (Ozawa, Busch-Heinonen-Lahti, 2004)

Difference of variances for POVM- and von Neumann observables

$\text{Trace}(\Delta_A(x) \cdot \rho) = \mathbf{Var}(A, \rho) - \mathbf{Var}(A(x), \rho), \forall \rho \in \mathcal{S}.$

EXTRA: Noise and smearing

Let $B \mapsto_{\Gamma} A$ (B -smearing of A with Markov operator Γ)

Expectations coincide: $\mathbf{Exp}(B, \Gamma x) = \mathbf{Exp}(A, x) \forall x \in \mathbb{R}^N$

But noise decreases: $\Delta_B(\Gamma x) \leq \Delta_A(x)$ (Martens-de Muijnck)

Can it decrease to zero??

Inherent noise of A : $\mathcal{N}(A) := \inf_{B, \Gamma} \max_{x \in [-1, 1]^N} \|\Delta_B(\Gamma x)\|_{op}$

Measures “the noise component” **persisting under unsmearings**

Back to von Neumann axioms (1932)

Quantum state: $\rho : \mathcal{L}(H) \rightarrow \mathbb{R}$,

- **(normalization)** $\rho(\mathbb{1}) = 1$;
- **(positivity)** $A \geq 0 \Rightarrow \rho(A) \geq 0$;
- **(linearity)** ρ – linear.

Corollary: $\rho(A) := \text{Trace}(A\rho)$, where $\rho \in \mathcal{S}$ -density operator.

Corollary: No dispersion free states ("no hidden variables")

$\forall \rho \exists A : \text{Variance}(A, \rho) > 0$

Criticism: (Grete Hermann, 1936; Bohm, Bell 1950ies-1960ies):
 $\rho(A + B) = \rho(A) + \rho(B)$ makes no sense if A, B are not simultaneously measurable, i.e. $[A, B] \neq 0$.

Quasi-states

Normalized, positive, **quasi-linear** functionals $\zeta : \mathcal{L}(H) \rightarrow \mathbb{R}$:

$$\zeta(uA+vB) = u\zeta(A)+v\zeta(B) \quad \forall A, B \in \mathcal{L}(H) : [A, B] = 0, \quad \forall u, v \in \mathbb{R} .$$

Theorem (Gleason, 1957)

$\dim H \geq 3 \Rightarrow$ every quasi-state is linear, i.e. a state.

Apply correspondence principle to define classical analogue of quasi-states: (M, ω) - closed symplectic manifold, $\zeta : C(M) \rightarrow \mathbb{R}$ -a functional:

- **(normalization)** $\zeta(1) = 1$;
- **(positivity)** $f \geq 0 \Rightarrow \zeta(f) \geq 0$;
- **(quasi-linearity)** $\zeta(uf + vg) = u\zeta(f) + v\zeta(g) \forall f, g \in C(M)$
such that $\{f, g\} = 0$.

Existence of non-linear symplectic quasi-states, 2006-2011

$\dim M = 2$ Aarnes theory of topological quasi-states (1991)

$\dim M \geq 4$ Floer theory–Morse theory for the action functional $\int pdq - hdt$ on **loop space** of M .

(complex projective space, toric manifolds, blow ups...)

Entov-P., Ostrover, McDuff, Usher, Fukaya-Oh-Ohta-Ono

Extra feature:

Vanishing: $\zeta(f) = 0$ if $\text{support}(f)$ displaceable.

Rigidity of partitions of unity: $\sum f_i = 1$, $\text{support}(f_i)$ displaceable. By vanishing, $\zeta(f_i) = 0$. If $\{f_i, f_j\} = 0$,

$1 = \zeta(1) = \zeta(\sum f_i) = \sum \zeta(f_i) = 0$, contradiction. □

Figure: ROAD MAP (physical perspective)

**FOUNDATIONS OF QUANTUM MECHANICS (GLEASON THM)
"IMPOSSIBILITY TO ASSIGN CONSISTENTLY VALUES TO
OBSERVABLES"**



**FLOER-HOMOLOGICAL SYMPLECTIC QUASI-STATES
"VIOLATION" OF CORRESPONDENCE PRINCIPLE**



NOISE-LOCALIZATION UNCERTAINTY

THANK YOU!